

An Extensive Analysis of Deadlock Resolution Algorithms

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ABSTRACT: A deadlock is a situation where set of processes waits for resources held by other processes in the same set. This phenomenon implies disaster in man-made systems; therefore, it must be carefully handled by system designers, analysts and engineers. The processes in deadlock waits indefinitely for the resources and the resources held by these processes are not available for any other process. These processes never terminate their execution. Sometimes it may lead to a serious system failure. The deadlock is resolved using a Deadlock resolution algorithm. The primary step is to select the victim, then evict/abort the victim or cause it to rollback. This step resolves the deadlock easily. This paper describes wait for graph (deadlock detection) and some deadlock resolution algorithms to resolve the deadlock using different criteria.

KEYWORDS: Deadlock, Resources, Processes, Release, Deadlock prevention, Deadlock resolution, wait for graph, Deadlock handling, VGS Algorithm.

I. INTRODUCTION:

Deadlock occurs when no process proceeds for execution, each waits for resources that has been taken by other processes. For example, one can't the job without having the (professional) experience and one can't get the experience without having a job.

Traffic gridlock is an everyday example of a deadlock situation. There is a variant of deadlock called livelock. It is important to note that the number of processes and the number and kind of resources possessed and requested are unimportant. The resources may be either physical or logical. Examples of physical resources are printers, tape drivers, memory space and CPU cycles. Examples of logical resources are files, semaphores and monitors. The sequence in which the process utilizes a resource is Request, Use and Release. Deadlock can be detected by the wait-for-graph. A

deadlock needs to be resolved timely otherwise, the deadlock size will increase. The deadlock size is defined as the total number of blocked processes (BP) involved in deadlock, where BP refers to processes that waits indefinitely on resources acquired by other processes. Deadlock can be resolved by avoiding at least one of the four conditions, mutual exclusion, hold and wait, no pre-emption and circular wait. Because, all these four conditions are required simultaneously to cause deadlock.

PROBLEM SPECIFICATION:

Most of the deadlock resolution algorithms imply rollback/abort as the solutions to deadlock. The only difference lies in the criteria for selecting victim. In this case, evicting the victim, or restarting the victim leads to wastage of resources, wastage of work done by the aborted processes, low throughput of system and the process execution time becomes unpredictable. Restarting a process is more expensive than waiting, thus aborting the victim needs to be avoided.

Therefore, in this paper an algorithm that do not cause any aborts/rollbacks is proposed. It resolves the deadlock with the mutual cooperation of the transactions.

DEADLOCK:

A deadlock is a situation in which every process of a group, is waiting for another process, including itself, to acquire action, i.e., releasing a lock.



Figure 1. Deadlock in Operating Systems

It is a common problem in multiprocessing systems, parallel computing. In a communication system, deadlocks occur mainly due to lost or corrupt signals rather than resource conflict.

If the resources aren't available at that point, the method enters a wait state. Waiting processes may never turn again because the resources they need requested are held by other waiting processes. This situation is called deadlock. A process requests a resource before using it, and releases resource after using it.

1. Request: If the request is not permitted immediately then the requesting process waits until it acquires the resource.
2. Use: the method can operate the resource
3. Release: The process releases the resource.

METHODS FOR HANDLING DEADLOCK:

1. Process Termination:

To eliminate the deadlock, we will simply kill one or more processes. For this, we use two methods:

(a) Abort all the Deadlocked Processes:

Aborting all the processes will definitely break the deadlock, but with an excellent expense. The deadlocked processes may have computed for an extended time and therefore the results of those partial computations must be discarded and there's a probability to recalculate them later.

(b) Abort one process at a time till eliminating deadlock:

Until deadlock cycle is eliminated from the system, abort one deadlocked process at a time. This method, there can cause considerable overhead, because after aborting each process, there is a run deadlock detection algorithm to check if any processes is still deadlocked.

2. Resource Pre-emption:

(a) Selecting a victim:

The resources and processes that are to be pre-empted and also the order to minimize the cost, are determined

(b) Rollback:

We must determine what should be done with the process from which resources are pre-empted. One simple idea is total rollback. That means abort the process and restart it.

(c) Starvation:

In a system, it should happen that very same process is usually picked as a victim. Finally, that process will never complete its assigned task. It is called Starvation and must be prevented. One solution is that a process must be picked as a victim only a fixed number of times.

II. RESOLUTION ALGORITHM:

A. RESOLUTION BY USING TIMESTAMP

The criteria chosen for aborting the victim is based on timestamp. Every process are assigned with a timestamp when they enter the system. The timestamp of the younger process is greater than that of the older process. The process with a higher timestamp i.e., younger process is aborted first. The reason for choosing process is that it would have consumed less resources and less CPU time. The problem here is that, the it causes starvation, because every time aborting a younger process can lead to its starvation, preventing its completion.

B. RESOLUTION BY USING BURST TIME

The criteria chosen for aborting the victim is based on the burst time of the processes. Burst time is the CPU time needed by a process for its execution. To break the deadlock cycle, the process with maximum burst i.e., older process is aborted first. The problem here is that, the older process would have consumed more resources and been in the system for very long, but still it doesn't complete its execution. This is an inefficient approach.

C. RESOLUTION BY DEGREE

The criteria chosen for aborting the victim is based on the degree of the processes. In wait – for – graph approach, the degree determines how many resources a process is holding and how many process a resource is requesting. There are two types of degrees. In-degree: denotes the number of resources held by a process. Out-Degree: denotes the number of

resources requested by a process. Degree of a process is calculated by taking sum of in-degree and out-degree. The process having highest degree is aborted first.

D. RESOLUTION BY BOTH TIMESTAMP AND BURST TIME

The younger process with higher burst time is chosen as the victim.

The advantage of choosing this approach is that younger process which takes maximum burst time is aborted and it allows processes with less execution time to complete first.

E. RESOLUTION BY BOTH BURST TIME AND DEGREE

The process with highest burst time and highest degree is chosen as the victim i.e., the process having more resource request and high time to complete will be aborted. The advantage of choosing this approach is that choosing processes with high burst time and high degree will release maximum resources needed for completion of other process with less execution time needed.

F. RESOLUTION BY BOTH DEGREE AND TIMESTAMP

A younger process with higher degree will be aborted. The problem of starvation which occurs when selecting a process based on timestamp will be avoided in this case as the degree of the node is also considered along with timestamp so as to pick victim for resolving deadlock within the system.

G. VGS ALGORITHM FOR DEADLOCK RESOLUTION

It is an efficient deadlock resolution algorithm. This algorithm is based on the mutual cooperation of transactions and is described as follows:

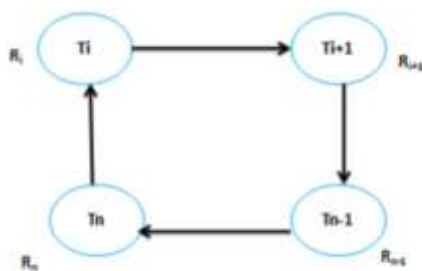


Figure 2. A Deadlock cycle

Suppose $T_i, T_{i+1}, T_{i+2}, \dots, T_n$ are the transactions involved in a deadlock. They form a deadlock cycle such that T_i holds resource R_i , T_{i+1} holds resource R_{i+1} , T_{i+2} holds resource R_{i+2}, \dots, T_n holds R_n and T_i is requesting for resource R_{i+1} , T_{i+1} is requesting for resource R_{i+2}, \dots, T_n is requesting for R_i . Since each transaction is holding a resource and waiting indefinitely for other resource held by the other transaction, they form a deadlock cycle and none of them is being able to proceed ahead. In the proposed deadlock resolution algorithm transaction, coordinator observes the scenario and it suspends T_{i+1} for some random t seconds and it releases resource R_{i+1} which is acquired by the requesting P_i, P_j, P_k transaction T_i . For t seconds it has been allotted a resource which is the time period in which T_{i+1} has been suspended. T_i is supposed to utilize R_{i+1} and execute successfully in t seconds. If T_i successfully executes before t seconds it sends a message to coordinator that it has successfully executed and to resume transaction T_{i+1} and gives its resource R_{i+1} back to T_{i+1} . If T_i is not able to complete its execution within t seconds coordinator pre-empted resource R_{i+1} from T_i and provides it back to T_{i+1} . The value R_{i+1} is the value partially updated by T_i . Now T_{i+1} will check whether T_i is still requesting for R_{i+1} . If it is requesting, T_{i+1} informs coordinator and is suspended again for some random t seconds and resource T_i is allotted to R_{i+1} again and T_i receives it and resumes its execution and when completed before t seconds T_i informs coordinator to resume T_{i+1} and gives back resource R_{i+1} to T_{i+1} .

III. ALGORITHM:

TRANSACTION (T_i, T_{i+1}, \dots, T_n),
 RESOURCE (R_i, R_{i+1}, \dots, R_n)
 START:
 Suppose T_i, \dots, T_n be the transactions involved in a deadlock and form a cycle.
 BEGIN,
 T_i holds resource R_i , T_{i+1} hold resource R_{i+1}, \dots, T_n holds resource R_n and T_i requests resource R_{i+1} , T_{i+1} requests resource R_{i+2}, \dots, T_n requests resource R_i . Each transaction is in a circular wait and hold condition
 DO, Coordinator suspends transaction T_{i+1} and T_n for random t seconds and releases resource R_{i+1} and R_n respectively.
 {
 R_{i+1} is now taken by transaction T_i and it executes.
 {
 IF T_i executes successfully before t seconds
 {
 {

```
Ti informs coordinator to resume Ti+1, Ti+1
resumes and takes the resource Ri+1back.
}
Now Ti+1 will wait for resource Ri+2 and will
proceed successfully as there is no deadlock now
}ELSE{
Ti+1 preempts the resource from Ti and
value of Ri+1 will be the value partially
updated by Ti
}
Ti+1 CHECKS
IF
Ti is still requesting for resource Ri+1 {{
Coordinator again suspends Ti+1 for random t
seconds and gives the resource Ri+1 to Ti, it will
acquire the resource Ri+1 and will lock it. After Ti
executes successfully it releases Ri+1. Ti informs
coordinator to resume Ti+1 and gives its resource
Ri+1back
}
Now Ti+1 will wait for resource Ri+2 and will
proceed successfully as there is no deadlock now
}
ELSE Ti+1 will wait for resource Ri+2 and will
proceed successfully as there is no deadlock now
}
```

IV. CONCLUSION:

In this paper we presented different deadlock resolution algorithms which resolve deadlock. A detailed explanation of

VGS algorithm which solves deadlock effectively is explained. As the paper describes in this algorithm the transactions resolve deadlock with the mutual cooperation of each other. Transaction T_{i+1} and T_n suspend themselves and let other transactions proceed successfully and continuously co-operate them till they are not able to commit successfully. This algorithm does not cause any abortion/rollback, which shows its effectiveness. In the proposed algorithm the coordinator manages its own transactions and resolves any deadlock if detected.

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